



Priority Ranking Checklist

PRIORITIES:

For our approach to financial planning we focus on your priorities, delivering a uniquely customized financial plan that defines and evolves with your goals. Working with a team of specialists, we provide objective solutions that will help you grow, preserve and transfer your wealth.

To help shape our meeting and discussion of your goals, please review the considerations below and rank the priority in order of importance to you.

PRIORITY	CONSIDERATIONS FOR RANKING	MY RANKING 1-8 (1 being most important, 8 being least important)
Investments	Is my portfolio reflective of my current tolerance for risk? Is it growing according to my objectives? Are my investment strategies aligned most tax-efficiently?	<input type="checkbox"/>
Transition to Retirement	Do I have a vision of my retirement that will affect my financial plan? Do I know how many years until I want to retire? Have I established my after-tax retirement income? What do I see as my major financial planning issues in retirement? What family issues should I consider? What might happen that could affect me financially in retirement?	<input type="checkbox"/>
Business Succession	How well do my succession plans line up with my financial and estate plans? Are there any tax or legal issues I need to consider now?	<input type="checkbox"/>
Cash Flow Planning	Am I comfortable that I have a "financial cushion" for unexpected events? Am I well prepared to generate sufficient income for retirement? Are costs to fund my current lifestyle planned appropriately? Do I have a realistic approach to pay down or consolidate debt?	<input type="checkbox"/>
Protection	Does my plan provide for my family in the event of my death? Does my plan provide for my family in the event of my illness? Does my plan protect my portfolio under life-threatening or critical conditions?	<input type="checkbox"/>
Estate Planning	Does my plan allow me to protect, preserve and transfer my wealth? Have I taken the key steps to ensure it happens as I wish? Updated Will? POA? Executor etc?	<input type="checkbox"/>
Philanthropic and Legacy Planning?	Have I identified what legacy I would like to leave my community? Have I established my charitable giving goals? Do I have a tax efficient strategy in place?	<input type="checkbox"/>
Other?		<input type="checkbox"/>

DOCUMENTATION CHECKLIST FOR OUR FIRST MEETING

It would be helpful if you bring the following documents to our next meeting so that we have a complete understanding of your situation when we are discussing your goals.

CHECKLIST ITEMS	CHECK BELOW	COMMENTS
Most recent tax return or Notice of Assessment (This includes tax returns for U.S. Persons)	<input type="checkbox"/>	
Latest Registered and Non-Registered Investment statements for this portfolio held outside of Canaccord Genuity Wealth Management.	<input type="checkbox"/>	
Mortgage Statement, Line of Credit Statement or Loan Statement	<input type="checkbox"/>	
Annual Employment Pension Statement	<input type="checkbox"/>	
Other benefit details including stock options and other incentive pay	<input type="checkbox"/>	
Budget of personal and living expenses (Complete Expenses Worksheet Provided)	<input type="checkbox"/>	
CPP/QPP Eligibility Statement or indication of potential CPP/QPP Benefits For CPP Statements Call: 1-800-277-9914 For QPP Statements Call: 1-800-463-5185	<input type="checkbox"/>	
Insurance Policies, preferably the first 5 pages and annual statement	<input type="checkbox"/>	
List of assets and liabilities where not included above, or held with us, such as real estate, tax shelters, U.S. Property, Trusts, Corporate Entities, etc.	<input type="checkbox"/>	
Other	<input type="checkbox"/>	